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#### PRACTICE AREAS

**Securities  
Enforcement, Internal  
and Government  
Investigations and  
Criminal Defense**

Broker-Dealer Sales  
Practices

Corruption, Bribery and  
Money Laundering

Financial and Accounting  
Fraud

Securities Trading and  
Market Manipulation

#### EDUCATION

Harvard Law School,  
J.D., *cum laude*, 1990

Wells College, B.A.,  
*summa cum laude*

## MaryJeanette Dee

MaryJeanette Dee concentrates in internal investigations and regulatory and white collar criminal defense. She has conducted numerous internal investigations for board committees and management into a wide variety of allegations, including improper revenue recognition, accounting irregularities, misuse of confidential information, insider trading and other securities fraud, retail brokerage sales practice violations, embezzlement, and money laundering. Ms. Dee also frequently represents banks, broker-dealers, investment advisers, corporate officers, securities professionals, lawyers and other individuals in connection with investigations and inquiries by the U.S. Attorney's Offices for the Southern and Eastern Districts of New York, the SEC, FINRA and other governmental entities and self-regulatory organizations. Some notable representations include:

- Representation of a global investment bank in connection with parallel federal criminal and SEC stock loan investigations;
- Representation of a Fortune 500 company in connection with allegations of revenue recognition and securities fraud in French subsidiary;
- Representation of a global investment bank in connection with federal criminal Internet gambling investigation;
- Representation of global investment banks in connection with various insider trading investigations;
- Representation of a mutual fund complex in connection with SEC market timing investigation;
- Representation of former Hollinger officer in testimony at the federal criminal trial of Conrad Black; and
- Representation of Lee Richards, court-appointed Independent Examiner, in connection with monitoring Computer Associates International, Inc.'s compliance with the Deferred Prosecution Agreement and SEC consent judgment entered into by Computer Associates International, Inc.

In 1993, Ms. Dee served as Special District Attorney in the Middlesex County District Attorney's Office in Massachusetts. She obtained convictions in bench and jury trials for credit card fraud, aggravated assault, stalking and other felony crimes.

Ms. Dee's work and professionalism have earned her recognition as a top white collar criminal defense lawyer. In 2007, 2008 and 2011, the *Legal 500* directory recognized her accomplishments in this area. She was also named a *New York Super Lawyer* in white-collar criminal defense in 2008, 2009, 2010 and 2011. She is currently serving as a Trustee of the Harvard Law School Alumni Association of New Jersey and is a member of a number of professional associations.

Ms. Dee is a member of the New York bar and is admitted to practice before the United States District Courts for the Southern and Eastern Districts of New York.

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MARYJEANETTE DEE (Cont.)

## NEWS

*Acquisition International* names RK&O 2011 White-Collar Crime Law Firm of the Year

U.S. News-Best Lawyers awards RK&O "Law Firm of the Year" in White Collar-Government Investigations Law and recognizes firm's other practice areas

RK&O attorneys recognized as 2011 Super Lawyers

RK&O practices, attorneys cited in *The Legal 500 United States*

RK&O attorneys recognized as 2010 Super Lawyers

MaryJeanette Dee and James Walker appear in *Super Lawyers - Corporate Counsel Edition*

MaryJeanette Dee comments on the Skilling/Black rulings in *Fox Business*, *Portfolio.com*, and *The Christian Science Monitor*

MaryJeanette Dee quoted in the *InsideCounsel* article "Full Disclosure: 9th Circuit Restores Conventional Wisdom on Privilege"

RK&O attorneys recognized as 2009 Super Lawyers

Lee Richards Appointed Receiver in SEC v. Nicholson Securities and Wire Fraud Case

*The Legal 500 United States* Refers to Richards Kibbe & Orbe LLP's White-Collar Criminal Defense Practice as Having an "Excellent Relationship With Regulators"

An "insiders' tip" is how *The Legal 500* refers to Richards Kibbe & Orbe LLP's White Collar Criminal Defense Practice

## SEMINARS & SPEAKING ENGAGEMENTS

MaryJeanette Dee acts as a Panelist at the PLI "Bet the Company Litigation 2010: Best Practices for Complex Cases" conference

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MaryJeanette Dee presents "Internal Investigations: Balancing Competing Concerns & Objectives"

MaryJeanette Dee acts as a Moderator for a panel discussion titled "Managing Litigation On Multiple Fronts: Parallel Criminal, SEC, and Plaintiff Proceedings"

MaryJeanette Dee acts as a Moderator at the 6th Securities Litigation Conference

MaryJeanette Dee participates as a Panelist in the PLI "Overlapping Civil Litigation and Government (Regulatory/Department of Justice) Investigations" seminar

MaryJeanette Dee moderates "Parallel Criminal, SEC and Plaintiff Proceedings" seminar

MaryJeanette Dee speaks at the "Interlinking the U.S. and Canada through Overcoming and Managing Multi-Jurisdictional Obstacles" seminar

MaryJeanette Dee presents "Overlapping Civil Litigation and Government (Regulatory/Department of Justice) Investigations" at the PLI seminar