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#### PRACTICE AREAS

##### **Civil Litigation**

Business and  
Commercial Disputes

##### **Regulation and Compliance**

Corporate Governance  
Evaluation of Regulatory  
Risk

Securities Regulation  
Advice and Training

Trading/Ethical Policies  
and Compliance

##### **Securities Enforcement, Internal and Government Investigations and Criminal Defense**

Broker-Dealer Sales  
Practices

Corruption, Bribery and  
Money Laundering

Financial and Accounting  
Fraud

Securities Trading and  
Market Manipulation

#### EDUCATION

University of Michigan  
Law School, J.D., *cum  
laude*, 2000

Vanderbilt University,  
B.A., *summa cum laude*,  
1997

## Jeffrey A. Lehtman

Jeffrey A. Lehtman has extensive experience in securities enforcement, regulatory investigations, and complex cross-border litigation and regulatory matters. Mr. Lehtman has particular expertise in counseling clients in connection with civil, criminal and regulatory issues arising in their international operations, ranging from corporate governance issues to devising strategies for mitigating potential reputational risk to firms and individuals and interfacing with local regulatory authorities in foreign jurisdictions. Mr. Lehtman has broad experience in the international arena and advises clients facing parallel investigations by both U.S. and foreign authorities.

Mr. Lehtman represents public companies, officers and directors, and financial firms and professionals in connection with investigations before the Securities & Exchange Commission, the U.S. Department of Justice, the Financial Industry Regulatory Authority, and numerous other domestic and foreign regulatory and government bodies. Mr. Lehtman has represented public companies and employees in accounting and disclosure investigations related to revenue recognition, market timing and late trading of mutual funds, Regulation FD, research analyst conflict of interest issues and other financial reporting and disclosure issues.

In addition, Mr. Lehtman has conducted internal investigations on behalf of Audit Committees concerning financial reporting irregularities, potential violations of the Foreign Corrupt Practices Act, anti-money laundering regulations, and issues related to other legal and regulatory requirements. Mr. Lehtman also has conducted numerous investigations on behalf of companies into potential violations of applicable laws and regulations and internal policies and procedures arising from regulatory inquiries and employee ethics complaints.

Mr. Lehtman has particular regional expertise on matters involving Latin America and the Caribbean. Recent matters on which Mr. Lehtman has advised include the following:

- represented a global financial services firm in connection with an SEC investigation into allegations that former high-ranking officials of a Latin American country had conspired to manipulate the market for credit-default swaps referencing their country's sovereign debt;
- represented a former senior officer of the Latin American region of a global financial group in connection with DOJ investigation into allegations that the global financial group had operated as a multi-jurisdictional ponzi scheme;
- conducted an anti-money laundering review for a global financial group in connection with an asset forfeiture order arising out of its operations in Latin America.
- conducted an internal investigation for a global financial services firm into improper trading by a foreign exchange specialist on its Latin American desk;

## JEFFREY A. LEHTMAN (Cont.)

- represented a U.S. public company in connection with FCPA compliance matters, including defending the company in connection with DOJ and SEC investigations into allegations of payments made to foreign government officials in a Caribbean country;
- conducted an internal review for a global financial services firm relating to potential fraud by former senior management of a Central American-based bank prior to its acquisition by U.S.-based firm.

Prior to joining RK&O, Mr. Lehtman was Senior Regional Counsel at Citigroup, where he was responsible for regulatory, litigation and corporate matters involving Latin America. Mr. Lehtman also has served as a trial attorney in the Office of International Affairs, U.S. Department of Justice and as an associate at an international law firm based in Washington, DC.

Mr. Lehtman is fluent in Spanish.

## NEWS

Jeffrey Lehtman quoted in *International Business Times* article "Obama's Overseas Corruption Busting Efforts Target Corporations, Executives"

*Acquisition International* names RK&O 2011 White-Collar Crime Law Firm of the Year

Jeffrey Lehtman quoted in *Latin Lawyer* article "Corruption Still Prevalent in Brazil, Says OECD"

U.S. News-Best Lawyers awards RK&O "Law Firm of the Year" in White Collar-Government Investigations Law and recognizes firm's other practice areas

Jeffrey Lehtman and Kenneth Werner quoted in the Inter-American Dialogue's *Financial Services Advisor*

Jeffrey Lehtman quoted in the Inter-American Dialogue's *Financial Services Advisor* and the *Latin Business Chronicle*

Richards Kibbe & Orbe LLP names Jeffrey Lehtman a partner and Catherine Turgeon and Ryan Jackson counsel

RK&O Legal Team Wins Pro Bono Guardianship Decision for Washington D.C. Family

## SEMINARS &amp; SPEAKING ENGAGEMENTS

Richards Kibbe & Orbe LLP to co-sponsor and present at the inaugural Latin American Congress on Ethics, Transparency & Anti-Corruption Compliance

Jeffrey Lehtman and William Barry co-host roundtable in Buenos Aires, Argentina on cross-border regulatory issues

William Barry and Jeffrey Lehtman featured in "Money Laundering and Corruption Risks for U.S. Companies in Latin America" *Legal Current* podcast hosted by Thomson Reuters

Michael Mann, Jeffrey Lehtman and William Barry co-host roundtable in Sao Paulo, Brazil on cross-border regulatory issues

## PUBLICATIONS

"Navigating Anti-Bribery Restrictions to Win Business in the Global Marketplace" by William Barry and Jeffrey Lehtman