

ATTORNEYS

Eva Marie Carney

PRACTICE AREAS

Operational Advice

Eva Marie Carney co-presents "SEC Examinations of Investment Advisers" webinar hosted by the ACA Compliance Group

April 22, 2010

Webcast

On Thursday, April 22, 2010, Richards Kibbe & Orbe LLP partner Eva Marie Carney co-presented a webcast titled "SEC Examinations of Investment Advisers" with Joel Sauer of ACA Compliance Group. This presentation focused on recent developments in SEC examinations of investment advisers, including topics such as asset verification tests, e-mail requests, common exam deficiencies, and the SEC's newly enhanced subpoena powers.

Please click [here](#) for a copy of the slides used in the "SEC Examinations of Investment Advisers" webcast.